Creating **A**rtificial **I**ntelligence from the World

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How can we create "artificial" intelligence (AI) from the world?

Definition of Intelligence

Can we define intelligence?

"A very general mental capability to reason, plan, solve problems, think abstractly, comprehend complex ideas, learn quickly and learn from experience" [1] [Mainstream Science on Intelligence](https://en.wikipedia.org/wiki/Mainstream_Science_on_Intelligence)

"a set of skills of problem solving" [2]

"To act purposefully, to think rationally, and to deal effectively with his environment" [3]

There are many different definitions & kinds

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"a set of skills of problem ϵ

with his environment" [3]

"To act purposefully, to the **Why we need intelligence ?**

There are many different definitions & kinds

Body

MUSICAL

MIN

BODILY-KINESTHETIC

Intelligence to achieve goals

"Intelligence is the computational part of the ability to achieve goals in the world" [4] [John McCarthy](https://www.researchgate.net/publication/28762490_What_is_Artificial_Intelligence#:~:text=Artificial%20intelligence%20(AI)%20is%20defined,and%20in%20treatment%20%5B3%5D%20.)

To win the game

The goals are

To collect more food To survive & breed

This leads to many complex capabilities!

Human develops [5]

- Perception to identify objects (enemy vs colleague, edible vs inedible food, different tools)
- **Language** and **social** intelligence to manipulate other agents in a favored way
- **Physical** and **tool** intelligence to manipulate the world
- **Artistic** intelligence to attract the opponent sex

Key properties of intelligence

More intelligent

- The more complex the world, the more complex intelligence is needed
- Physical (motor control) intelligence is very important in our world and should not be neglected
- More computational capacity leads to better intelligence [5]

Intelligence as **decision making**

- To achieve goals, good decision makings are necessary
- $=$ Intelligence is to make good decision Examples: which word to say next, place to go, muscle to move

"We have a brain for one reason and one reason only – and that's to produce adaptable and complex movements. Movement is the only way we have affecting the world around us" [6] Daniel Wolpert

At the lowest level decisions

Note: decisions are often hierarchical, high level involving low-level decision E.g., place to go \rightarrow way to get there \rightarrow muscle to move,

Intelligence as **decision making**

Decision making can be formulated as **Perception**, **Reasoning**, and **Learning**

- **Perception:** process the information of the world in a favored way
- **● Reason:** outputs the decision from the perception
- **Learn:** observe the outcome and correct the policy

My definition - perception, reasoning, and learning are the intelligence!

World as **decision making process**

Worlds: real world, games, simulation, etc.

- Worlds can be modelled as **decision making process** given a specific goal
- Given the current state, AI outputs action and transitions into the next state

World as **POMDP**

Since all the components in the world cannot be observed at the same time, this becomes POMDP!

$$
M = (S, A, T, \Omega, O, G, p_0) \quad \text{where}
$$

- all the possible set of goals Ģ
- initial state distribution p_o
- emission probability Ω

Intelligence in different worlds

● Beyond real worlds, we can define many different worlds and create intelligence out of it

Text world

defined by a set of tokens (words)

What we want:

Hi! I'm traveling to Hawaii next week. Can you recommend an activity to me that I will enjoy?

Of course! To start off, would you only like activities for a particular island?

<u>ය</u>

Yes, I will mainly just be staying in Maui.

Great! There are plenty of activities to do there. Would you consider yourself very active?

POMDP

State: sentence

Action: next token

Next State: sentence + token

Goals to pursue

- Generate plausible text
- Answer the question
- Manipulate others (e.g.

to buy the product)

Intelligence in different worlds

● Beyond real worlds, we can define many different worlds and create intelligence out of it

Video world

defined by a set of videos

POMDP

State: frame

Action: next frame

Next State: next frame

Goals to pursue

- E.g., to move to the specific frame
- To develop good perception

Intelligence in different worlds

● Beyond real worlds, we can define many different worlds and create intelligence out of it

Dataset world

defined by a set of data

POMDP

State: data

Action: label or next data

Next State: next data

Goals to pursue

- To output correct label
- To develop good perception

Trials of creating intelligence

Engineering approach

Decision making procedure is manually designed by human. E.g.,

- 1) knowledge-based system (reasoning) [7]
- 2) feature engineering (perception) [8]

Shortcomings

- Large manual effort required
- Injects human inductive bias which could be wrong or not generalizable
- Hard to scale at complicated problems

Data-modelling approach

Can we automatically extract decision-making rule from the dataset?

$$
\tau = (o_0, a_0, o_1, a_1, \dots) \sim M(\pi_g)
$$

- **Policy** that pursues a specific goal g (e.g., human correctly labeling the $\pi_g(a \mid s)$ data)
- Modelling the resulting data distribution can develop the intelligence for achieving goal g

Data-modelling approach

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- **Policy** that pursues a specific goal g (e.g., human correctly labeling the $\pi_g(a \mid s)$ data)
- Modelling the resulting data distribution can develop the intelligence for achieving goal g
- DNN can be used for a model due to its
	- a. exellect generalization capability
	- b. good capacity

Data-modelling approach: Reasoning

ResNet_[9]

World: dataset world (ImageNet) Policy: human to label the data correctly

Robotic transformer[10, 11, 12]

World: real world with robot Policy: human manipulating robot to achieve the given task

- Outputs the decision given the state
- Perception is trained end-to-end by neural network
- Includes traditional supervised-learning & behavior cloning

Data-modelling approach: Perception

R3M[14]

Reconstruct the image from the masked image

TCL in video world with text alignment

Next token prediction in low-level action trajectory

- Ground the reasoning by providing good expression of the state
- Includes unsupervised learning & representation learning

Perception $P(S)$, $P(S' | S)$, $P(\tau)$

General: Trajectory modeling

NTP from the text generated by human policy

GPT 1~3[16] Algorithm distillation[17]

Models improving trajectory by RL policy with different goals

MTM[18]

Predict tokens that are masked in trajectory

- Can function all the perception, reasoning, and learning
- Learning can be implemented by modelling improving trajectories

Is data modelling enough?

showed success

- Data collection is **burdensome** \rightarrow internet scraping
- Generalizability is limited to the **dataset support** \rightarrow use large amount of data
- Still, the performance to the goal is upper-bounded by the dataset
- Cannot adapt to the changes in the world
	- e.g., covariate shift, label shift

What's the way to go?

All of what we mean by **goals and purposes** can be well thought of as **maximization** of the expected value of the cumulative sum of a **received scalar signal** [19] Richard Sutton

Intelligence, and all of its associated abilities, can be understood as subserving the maximization of reward [5] David Silver et al.

What's the way to go?

- Can we convert our goal to the reward?
- Can we directly optimize such reward and observe the resulting intelligence?

All of what we mean by goals and purposes can be well thought of as maximization of the expected value of the cumulative sum of a received scalar signal Richard Sutton [5, 19]

$$
\eta(\pi) = \mathbb{E}_{s_0, a_0, ...}\left[\sum_{t=0}^{\infty} \gamma^t r(s_t)\right]
$$

Reward is enough

David Silver*, Satinder Singh, Doina Precup, Richard S. Sutton

Reinforcement learning

Good

- **Might lead to superhuman intelligence**
- Learning can be done **autonomously** given only the reward
- Can **adapt** to the changing world by interaction and is trained life-long
- **Analogous** to human learning by reinforcement in psychology

Challenges

But..

- How can the agent explore the world well to find good decisions $$ because there are so many options ("monkey typing hamlet" problem)
- RL has instability and low sample efficiency issue

Using prior knowledge

How can the agent explore the world well to find good options

- NOTE: still, good options are very few!
- Prior knowledge can guide agent to the good options

Baby deer instinctively know that it has to run away

Baby moves to door when instructed 'open the door' not going to other direction

Using prior knowledge

Static dataset

- Action trajectory
- Video
- Image
- **Text**

Examples

Pretrained model

- Policy
- Value
- Trajectory model
- Perception

Large common sense model

- LLM
- VLM

There are so many kinds. What's the best way to utilize those ?

Applications of prior knowledge in RL

Policy prior - what is generally useful to do here **Value/Reward prior** - how generally good my behavior is **Dynamics** - how the world works **Perception** - how to process the information

Reward and dynamics are normally given in RL

- Still, prior knowledge can be required to design the reward function
- Dynamics might be used for model-based RL

REINFORCEMENT LEARNING MODEL

Using static prior: offline RL

- = Data-driven optimization
	- Algorithms are designed to be conservative outside of the support [20]

$$
\arg\min_{Q} - \mathbb{E}_{\mathbf{s}\sim\mathcal{D},\mathbf{a}\sim\hat{\pi}_{\beta}(\mathbf{a}|\mathbf{s})} \left[Q(\mathbf{s},\mathbf{a})\right] + \frac{1}{2}\,\mathbb{E}_{\mathbf{s},\mathbf{a},\mathbf{s}'\sim\mathcal{D}}\left[\left(Q(\mathbf{s},\mathbf{a}) - \hat{\mathcal{B}}^{\pi}\hat{Q}^{k}(\mathbf{s},\mathbf{a})\right)^{2}\right]
$$

• Since data is limited, learned model (Q, policy) might be inaccurate outside of dataset support

RL hits different now

RL has instability and low sample efficiency issue

 \rightarrow Algorithm has got a lot better nowadays. This may not be an issue anymore

- Techniques such as layernorm, clipped Q learning, and ensemble greatly improves stability
- Sample efficiency is improved by employing priors and using off-policy RL with high replay ratio [21, 22]

Example of intelligences by RL

Text world: maximize human preference

Policy goal: to generate the text that human prefers **Policy prior**: (supervised) LLM **Value prior:** reward \rightarrow since it is initialized by reward function **Reward prior:** LLM → since reward uses llm for initialization

$$
\mathcal{L}_R(r, \mathcal{D}) = - \mathbb{E}_{(x, y_w, y_l) \sim \mathcal{D}} \left[\log \sigma (r(x, y_w) - r(x, y_l)) \right]
$$

Reward objective (preference)

 $\max \mathbb{E}_{x \sim \mathcal{D}, y \sim \pi_{\theta}(y|x)} [r_{\phi}(x, y)] - \beta \mathbb{D}_{\text{KL}} [\pi_{\theta}(y|x) || \pi_{\text{ref}}(y|x)]$ Policy objective π

- Train a reward model by human preference on policy samples (or use existing critique models)
- Optimize the reward by RL with conservatism to prevent over-optimization

Text world: achieve the goal in conversation

- Generate the successful and failed dialogue given the specific conversation outcome
- Use success/failure as a reward and optimize by offline RL(IQL) from the data

Zero-shot goal-directed dialogue via rl on imagined conversations [25]

Video world: learn good perception

- Train the value function with the goal of reaching the specific frame
- Use the representation of value function in the downstream tasks (value learning, policy learning, etc.)

probability of reaching g by optimal goal-reaching $V(\phi(o); \phi(g))$ policy from o

$$
V_{\theta}(s, s_+, z) = \phi(s)^{\top} T(z) \psi(s_+)
$$

probability of reaching s+ while acting according to z

Policy goal: to achieve the given goal frame **Policy prior:** static dataset **Value prior:** - **Reward prior:** -

Vip: Towards universal visual reward and representation via value-implicit pre-training [26] & Reinforcement learning from passive data via latent intentions [27]

Video world: learn good perception

Representation of learned by RL (value function) shows superb performance compared to the ones by dataset modelling

Vip: Towards universal visual reward and representation via value-implicit pre-training [26] & Reinforcement learning from passive data via latent intentions [27]

Image world: learn good perception

- Generate the diverse images by exploring the latent of generative models
- Apply contrastive learning for the images
- Such diversity of data leads to robust representation learning

Image world: learn good perception

Building image world

- First, learn the smooth latent for the dataset by generative modelling (StyleGAN)
- Define the action as delta direction on the latent space
- Transition dynamics is the interpolation between action and current latent

Real world: achieve the instructed task

- Apply offline RL in robotic trajectories
	- a. autonomously collected data including failures
	- b. expert demos
- RL on mixed quality dataset is better than BC on expert data only

Policy goal: to complete the instructed task **Policy prior:** static dataset **Value prior:** - **Reward prior:** -

Pixel world: generate instructed image

Policy goal: to output image satisfying the conditions **Policy prior: diffusion model Value prior:** - **Reward prior:** vlm

- Models the diffusion step as decision making in MDP
- Use the off-the-shelf reward model
- Objective: compressibility, aesthetic quality, prompt alignment

Pixel world: generate instructed image

$$
R(\mathbf{s}_t, \mathbf{a}_t) \triangleq \begin{cases} r(\mathbf{x}_0, \mathbf{c}) & \text{if } t = 0\\ 0 & \text{otherwise} \end{cases}
$$

$$
\pi(\mathbf{a}_t\mid\mathbf{s}_t) \triangleq p_\theta(\mathbf{x}_{t-1}\mid\mathbf{x}_t,\mathbf{c})
$$

$$
\rho_0(\mathbf{s}_0) \triangleq \big(p(\mathbf{c}), \delta_T, \mathcal{N}(\mathbf{0}, \mathbf{I})\big)
$$

- Policy is modelled by one-step denoising
- Initial state is normal dist w/ sampled condition
- Trained by REINFORCE or PPO

Things to do

Things left to be done

Many important capabilities are lacking in current methods

Memory

- Memory is necessary in many worlds due to **partial observability**
- Still, most methods use memoryless policies

Long-horizon

- Discount factor might limit the horizon of policy by multiplication
- Bellman **bootstrapping** might be unstable at very long-horizon

Things left to be done

Autonomous training & deployment

● Many works assume **episodic resets** during training and deployment

Inductive bias

- Lots of works employ human inductive bias such as **reward engineering**
- This could limit the generalizability and capacity of the models

Use of all the prior knowledge

Most works use limited amount of prior knowledge

Proposed structure

Abstraction helps

- We can abstract low-level actions into higher-level subgoals
- This helps to plan in long-horizon by turning multiple actions into the single subgoal
- Similarly, abstracted states can help efficient memorizing in long-term

Proposed structure

- Policy: given goal and **historical** input, outputs subgoal
- Reward: given goal, outputs the probability that the goal is **achieved**
- Policy can form hierarchy by recursively querying subgoals
- Using recursive memory architectures (e.g., RMT, MAMBA[31, 32]) practically enables infinite context

Using pretrained models for policy & reward

Policy

- Use common sense model.
- Use models trained by dataset modelling (e.g., goal-conditioned BC)
- Action: subgoals in different abstraction levels

Reward

- Common sense model
- Alignment model (e.g., CLIP) between goal and trajectory

Policy: Given (state), what is the subgoal for AI do to achieve (goal)?

Reward: Given (goal), does (trajectory) achieve it?

Common sense model prompting

Training policy from static data

Use offline goal-conditioned RL to the trajectory dataset (k-steps long subgoal)

$$
Q^*(s_t, g, goal) \leftarrow R(s_t, g, goal) + \gamma \max_{\hat{g}(g)} Q^*(s_{t+k}, \hat{g}, goal)
$$

 $\mathbb{E}_{\tilde{a}_t \sim p_{\tilde{A}}} [P^{\pi}(s_T \neq g | s_t, \tilde{a}_t)] = 1$

Apply conservatism for unseen actions and goals[33]

- Policy and reward function can be initialized by pre-trained models
- Goals and subgoals are randomly sampled from trajectory
- In addition, trajectory can be labelled by the reward function

Meta reinforcement learning of policy

- Policy can **meta-learned** by training from diverse environments
- This is equivalent to learning **bayes adaptive optimal policy** from the distribution of environments
- It will provide the optimal exploration and exploitation strategy [34]

Training in many worlds (different way of opening doors)

E.g., open the door by pulling (fails) \rightarrow memorize such information \rightarrow try pushing

exploration episode

exploitation episode 1

Training reward

- **Fine-tune** pretrained models by contrastive learning (CLIP) or instruction-tuning method using (trajectory, goal) paired datasets
- Reward function can also be trained from the **online** data, which is challenging since it is unlabeled
- One could use label bootstrapping[35] technique, similar to SSL

Similarity between (goal) and (trajectory)

Online bootstrapping …

Online reinforcement learning

- We run online RL to the target environment with policy and reward initialized by priors
- Reward can be given by the reward function for the goals pursued by the policy + hindsight re-labelling
- Efficient exploration is enabled by priors and meta-learning by memory
- **Human instruction** can be included in the state and followed by agent

Use all the prior knowledge

- How to leverage text data to help robotic learning?
- Information transfer between different data is needed

Assume two trajectories (A) and (B) and policy learned there as <A>,

Hierarchical transfer: subgoals from can be followed by <A> or vice-versa **Policy transfer:** (B) / can be a policy prior for <A> **Perception transfer:** training in (B) can provide a perception prior for <A> **Semantic transfer:** training generalizes between data that has the same semantics

Ex) information transfer to learn robotic policy

(i), (v), (a), and (t) denotes image, video, low-level action, and text dataset, the origin of information.

- Semantic transfer can be employed in addition (e.g., two trajectories are policy transferable after changing modality by semantic transfer)
- $E.g.,$ pixel goal generalizes to the text goal[39]

Automatic data collection

Shortcomings of using static data

- **Limited** amount and diversity
- Not adaptive to the **changing** world
- Not **consider** to model's performance

- Quality data is important to train reward function
- We propose to use **dataset collection policy** in arbitrary world (e.g., Internet)
- This can be trained by RL to maximize **information gain**
- Heuristic objective such as model uncertainty about data could be employed (cf. similar RL exploration objective[40, 41])

Social: multi-agent RL

- Multiple intelligences can interact to each other in deployment,
- This makes the world more complicated, promoting the emergence of more complex intelligence
- Language and imitation behavior is expected to emerge[5, 42]

Summary

Toward 'helpful' intelligence

What should be the highest level of goal for AI?

To survive

The intelligence should be helpful for human

Many capabilities of AI are **subset** of "being helpful"

- AI plays the game well to entertain human
- answers the questions to assist human
- explores the world to gain knowledge for doing future tasks

Just like many capabilities of human is for survival

(AGI def.) "An intelligence that **maximizes** its **helpfulness** objective in a world which has an **infinite** number of **tasks** that are sufficiently **different** and identified as **productive** by humans. "

- We can use our **framework** to maximize "helpfulness"
- My **ultimate goal** is creating such AGI, especially in the real world.

My roadmap

Improving goal-conditioned RL

Multi-agent RL AGI in real world **Hierarchical policy** Long-horizon **Stitching Conservatism** ● Reward function bootstrapping • Efficient memory by RL Information transfer Adapting different memory architectures Real world robotics Active training by RL (data collection) ● Autonomous practice & adaptation Language & imitation emergence Apply all the methods

learning in video, text

Offline goal-driven reinforcement

- Multi-modality (sensor)
- **Explainability**

Improving algorithm: learning hierarchical policy

Q function can be interpreted as probability of achieving (goal) by (action)

$$
P(s_T = g|s_t, a_t) = Q^*(s_t, a_t, g)
$$

(prob to achieve goal) = (prob to achieve subgoal) x (prob to achieve goal by subgoal)

$$
Q^*(s_t, g^1, goal) \leftarrow (\max_g Q^*(s_t, g, g^1)) \{ R(s_t, g^1, goal) + \gamma \max_{\hat{g}(g^1)} Q^*(s_{t+k}, \hat{g}, goal) \}
$$

- This end-to-end learns the Q function with hierarchy where the high-level subgoals are grounded to the low-level
- \bullet g(g') denotes the set of subgoals that has the horizon length of g'

Improving algorithm: learning hierarchical policy

- Policy should output the low-level action at the end
- To prevent excessive number of querying subgoals, we discount each query by multiplying alpha

$$
Q^*(s_t, g^1, goal) \leftarrow \alpha(\max_g Q^*(s_t, g, g^1)) \{R(s_t, g^1, goal) + \gamma \max_{\hat{g}(g^1)} Q^*(s_{t+k}, \hat{g}, goal)\}
$$

$$
\begin{cases}\n\max_{g} Q_H^*(s_t, g, g^1) = 1 & \text{if } g^1 = \text{lowest level} \\
\alpha = 1 & \text{if } g^1 = 1\n\end{cases}
$$

Best possible refinement steps may also be limited to n

Improving algorithm: dealing with long-horizon

- Discounting factor limits the possible horizon of policy. Ex) $(0.99)^{6}500 = 0.007$
- To address this, we can multiply a single gamma after the subgoal completion

$$
\frac{1}{\sqrt{1-\frac{v^2{1\sqrt{1-\frac{v^2}{\sqrt{1-\frac{v^2}{\sqrt{1-\frac{v^2{1\sqrt{1-\frac{v^2}{\sqrt{1-\frac{v^2{1\sqrt{1-\frac{v^2}{\sqrt{1-\frac{v^2{1\sqrt{1-\frac{v^2}{\sqrt{1-\frac{v^2{1\sqrt{1 - v^2{1\sqrt{1 - v^2}}\sqrt{1-\frac{v^2{1\sqrt{1 - v^2{1\sqrt{1 - v^2\sqrt{1 - v^2}}}}}}}}}}}}}}}}}}}}{1\sqrt{r^3}}}}}}{gamma^3
$$

= low level action

$$
Q^*(s_t, g, goal) \leftarrow R(s_t, g, goal) + \gamma \max_{\hat{g}(g)} Q^*(s_{t+k}, \hat{g}, goal)
$$

Grounding is done by (prob to achieve goal) = (prob to achieve subgoal) x (prob to achieve goal by subgoal)

$$
\text{I.e.}\qquad Q_H^*(s_t, g^1, goal) \leftarrow \alpha \max_g Q_H^*(s_t, g, g^1) \, Q^*(s_t, g^1, goal)
$$

Offline RL in video

- Learning in video data is promising since large amount of data can be leveraged this way
- Downstream policy(e.g., robot) can utilize the video policy by information transfers
	- **H**: follow the next frame as a subgoal
	- **S**: From consequent frames, extract action by inverse dynamics
	- **P**: Use video policy representation

State: frame **Action**: next frame **Next State**: next frame

$$
a=I(s,s')
$$

Policy prior: video prediction model

Offline RL in text

- offline RL can be employed in the text domain \rightarrow in contrast to data modelling approach (LLM)
- Goal and actions might be randomly sampled from the bunch of text
- Semantic transfer is crucial for good abstraction

LLM can aid the training by the role of

- (1) Dynamics (e.g., to generate dialogue)
- (2) Reward
- (3) Policy prior

 $\tau_1 = (o_0, a_0, o_1, a_1, o_2, a_2, o_3, a_3, o_4, a_4, o_5, a_5, o_6, a_6, o_7, a_7, o_8, a_8, \dots)$

The list of papers that were referenced in the slide: [https://docs.google.com/document/d/1H72ztZhrEOff](https://docs.google.com/document/d/1H72ztZhrEOff1NOJlCSPO1T3S_PyVVCbvBCeH_g9Qxg/edit?usp=sharing) [1NOJlCSPO1T3S_PyVVCbvBCeH_g9Qxg/edit?usp=s](https://docs.google.com/document/d/1H72ztZhrEOff1NOJlCSPO1T3S_PyVVCbvBCeH_g9Qxg/edit?usp=sharing) [haring](https://docs.google.com/document/d/1H72ztZhrEOff1NOJlCSPO1T3S_PyVVCbvBCeH_g9Qxg/edit?usp=sharing)

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